

# COVER SHEET

A 1 9 9 7 0 1 5 8 4

S.E.C. Registration Number

P H I L I P P I N E   B U S I N E S S   B A N K ,   I N C .  
a s s a v i n g s   b a n k

(Company's Full Name)

3 5 0   R I Z A L   A V E N U E   E X T E N S I O N  
C O R N E R   8 T H   A V E N U E   G R A C E   P A R K  
C A L O O C A N   C I T Y

(Business Address: No. Street City / Town / Province)

Rolando G. Alvendia

Contact Person

363-3333 local 2004

Company Telephone Number

Month   Day  
Fiscal Year

General Information Sheet (GIS)

FORM TYPE

Month   Day

Annual Meeting

Secondary License Type, if Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes



# GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION

## GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> PHILIPPINE BUSINESS BANK, INC., A Savings Bank		<b>DATE REGISTERED:</b> 1/28/1997
<b>BUSINESS/TRADE NAME:</b> PHILIPPINE BUSINESS BANK, INC., A Savings Bank		<b>FISCAL YEAR END:</b> December 31
<b>SEC REGISTRATION NUMBER:</b> A199701584		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 005-469-606
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> Last Friday of May		<b>WEBSITE/URL ADDRESS:</b>
<b>ACTUAL DATE OF ANNUAL MEETING:</b> August 07, 2020		<b>E-MAIL ADDRESS:</b>
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 350 Rizal Avenue corner 8th Avenue, Gracepark, Caloocan City		<b>FAX NUMBER:</b>
<b>COMPLETE BUSINESS ADDRESS:</b> 350 Rizal Avenue corner 8th Avenue, Gracepark, Caloocan City		<b>TELEPHONE NUMBER(S):</b> 363-3333
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> Punong Bayan & Araullo - CHRISTOPHER M. FERAREZA		<b>SEC ACCREDITATION NUMBER (If applicable):</b> 0115-A
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> Banking	<b>INDUSTRY CLASSIFICATION:</b>	<b>GEOGRAPHICAL CODE:</b>

## ===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:**

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**      ☒ **Yes**      ☐ **No**

**Please check the appropriate box:**

**1.**

- ☒ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

**2.**

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

**3.**

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

**4.** ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

**5.** ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

**6.** Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

**7.** Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

**8.** ☐ None of the above

Describe nature of business:

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**

☐ **Yes**      ☐ **No**

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		PHILIPPINE BUSINESS BANK, INC., A SAVINGS BANK					
<b>CAPITAL STRUCTURE</b>							
<b>AUTHORIZED CAPITAL STOCK</b>							
	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP) (No. of shares X Par/Stated Value)</b>			
10,000,000,000.00	COMMON	870,000,000	10.00	8,700,000,000.00			
	PREFERRED	130,000,000	10.00	1,300,000,000.00			
<b>TOTAL</b>		<b>1,000,000,000</b>	<b>TOTAL P</b>	<b>10,000,000,000.00</b>			
<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
	63	COMMON	634,803,845		10.00	6,348,038,450.00	98.61
	5	PREFERRED	62,000,000		10.00	620,000,000.00	100.00
<b>TOTAL</b>		<b>696,803,845</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>6,968,038,450.00</b>		
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
OTHERS	4	COMMON	8,946,249		10.00	89,462,490.00	1.39
<b>Percentage of Foreign Equity :</b>		<b>TOTAL</b>	<b>8,946,249</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>89,462,490.00</b>	
<b>TOTAL SUBSCRIBED P</b>						<b>7,057,500,940.00</b>	
<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>		<b>% OF OWNERSHIP</b>
	63	COMMON	634,803,845	10.00	6,348,038,450		98.61
	5	PREFERRED	62,000,000	10.00	620,000,000.00		100.00
<b>TOTAL</b>		<b>696,803,845</b>	<b>TOTAL P</b>	<b>6,968,038,450.00</b>			
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>		<b>% OF OWNERSHIP</b>
OTHERS	4	COMMON	8,946,249	10.00	89,462,490.00		1.39
<b>100.00 %</b>		<b>TOTAL</b>	<b>8,946,249</b>	<b>TOTAL P</b>	<b>89,462,490.00</b>		
<b>TOTAL PAID-UP P</b>				<b>7,057,500,940.00</b>			

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: PHILIPPINE BUSINESS BANK, INC., A Savings Bank						
DIRECTORS / OFFICERS						
NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
1. JEFFREY S. YAO, FILIPINO	Y	C	M	Y	Chairman	C/M & A/M
2. ROLANDO R. AVANTE, FILIPINO	N	M	M	Y	Vice-Chairman / Pres. & C.E.O.	C/M
3. HONORIO O. REYES-LAO, FILIPINO	N	M	M	Y	Director	Not Applicable
4. LETICIA M. YAO, FILIPINO	N	M	F	Y	Director	Not Applicable
5. ROBERTO A. ATENDIDO, FILIPINO	N	M	M	Y	Director	A/M & N/M
6. DANILO A. ALCOSEBA, FILIPINO	N	M	M	Y	Director	A/M & N/M
7. PATERNO H. DIZON, FILIPINO	N	M	M	Y	Independent Director	A/M & N/M
8. BENJAMIN R. STA. CATALINA, JR., FILIPINO	N	M	M	Y	Independent Director	A/M & N/M
9. NARCISO DL. ERAÑA, FILIPINO	N	M	M	Y	Independent Director	A/M & N/M
10. ROBERTO C. UYQUIENGCO, FILIPINO	N	M	M	Y	Independent Director	A/M & N/M
11. PETER N. YAP, FILIPINO	N	Not Applicable	M	Y	SEVP / CMO / Head - RBG	Not Applicable
12. JOSEPH EDWIN S. CABALDE, FILIPINO	N	Not Applicable	M	Y	EVP/ Treasurer	Not Applicable
13. ARLO B. REYES, FILIPINO	N	Not Applicable	M	N	EVP/Head - CBG	Not Applicable
14. REYNALDO T. BORINGOT, FILIPINO	N	Not Applicable	M	N	SVP/ Bus. Dev. Officer	Not Applicable
15. CONSUELO V. DANTES, FILIPINO	N	Not Applicable	F	N	SVP/ HRG Head	Not Applicable

## INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: PHILIPPINE BUSINESS BANK, INC., A Savings Bank

## DIRECTORS / OFFICERS

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
16. ROSENDO G. SIA, FILIPINO	N	Not Applicable	M	N	SVP/ Bus. Dev. Officer	Not Applicable
17. LIZA JANE T. YAO, FILIPINO	N	Not Applicable	F	N	SVP/Head-GSC	Not Applicable
18. ROSELLE M. BALTAZAR, FILIPINO	N	Not Applicable	F	Y	FVP/Asst. Controller	Not Applicable
19. FELIPE V. FRIGAL, FILIPINO	N	Not Applicable	M	Y	FVP/ BBG Head	Not Applicable
20. EDUARDO R. QUE, FILIPINO	N	Not Applicable	M	N	FVP / Head - Corp. B.G.	Not Applicable
21. MA. LOURDES G. TRINIDAD, FILIPINO	N	Not Applicable	F	N	FVP/CRO & Head - ERMG	Not Applicable
22. RODEL P. GENEBLAZO, FILIPINO	N	Not Applicable	M	N	FVP / Head Co. B.G.	Not Applicable
23. JOSE MARIA P. VALDES, FILIPINO	N	Not Applicable	M	N	FVP/ITG Head	Not Applicable
24. MIAMI V. TORRES, FILIPINO	N	Not Applicable	F	Y	FVP / Head - C.M.G.	Not Applicable
25. JOHN DAVID D. SISON, FILIPINO	N	Not Applicable	M	N	FVP/Investor Relations Officer	Not Applicable
26. ATTY. SERGIO M. CENIZA, FILIPINO	N	Not Applicable	M	N	FVP / C.C.O.	Not Applicable
27. ATTY. ROBERTO S. SANTOS, FILIPINO	N	Not Applicable	M	Y	VP / Corp. Sec. / Head - LSG	Not Applicable
28. ROLANDO G. ALVENDIA, FILIPINO	N	Not Applicable	M	Y	VP / Head - GAC	Not Applicable
29. ENRICO T. TEODORO, FILIPINO	N	Not Applicable	M	Y	VP / Head - S.S.U.	Not Applicable
30. ATTY. LEONARDO C. BOOL, FILIPINO	N	Not Applicable	M	N	SAVP/ Asst. Corp. Sec.	Not Applicable

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# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: PHILIPPINE BUSINESS BANK, INC., A Savings Bank

## DIRECTORS / OFFICERS

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
31. MA. JOYCE G. ZARATE, FILIPINO	N	Not Applicable	F	Y	VP / Head - P.D.M.G.	Not Applicable
32. ANGELO MIGUEL M. CALABIO, FILIPINO	N	Not Applicable	M	N	Vice- President	Not Applicable
33. LAURENCE R. RAPANUT, FILIPINO	N	Not Applicable	F	Y	Sr. Asst. Vice- President	Not Applicable
34. EMMA K. LEE, FILIPINO	N	Not Applicable	F	N	AVP / Head - S.M.C.	Not Applicable
35. *****Nothing Follows*****						
36.						
37.						
23.						
24.						
25.						
26.						
27.						
28.						
29.						
30.						

### INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

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GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> PHILIPPINE BUSINESS BANK, INC., A Savings Bank									
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> Sixty-Seven (67)		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: Sixty-Two (62)							
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> Php114,091,966,872.00									
<b>STOCKHOLDER'S INFORMATION</b>									
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)				
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP					
1. ALFREDO M. YAO FILIPINO	Common	239,838,309	2,398,383,090.00	34.76%	2,453,383,090.00				
	Preferred	5,500,000	55,000,000.00						
	<b>TOTAL</b>	<b>245,338,309</b>	<b>2,453,383,090.00</b>						
2. PCD NOMINEE CORPORATION (FILIPINO) FILIPINO * JAKA SECURITIES CORP.	Common	219,106,810	2,191,068,100.00	31.05%	2,191,068,100.00				
	<b>TOTAL</b>	<b>219,106,810</b>	<b>2,191,068,100.00</b>						
3. ZEST-O CORPORATION FILIPINO	Common	162,052,923	1,620,529,230.00	27.85%	1,965,529,230.00				
	Preferred	34,500,000	345,000,000.00						
	<b>TOTAL</b>	<b>196,552,923</b>	<b>1,965,529,230.00</b>						
4. PCD NOMINEE CORPORATION (NON-FILIPINO) FOREIGN	Common	8,768,274	87,682,740.00	1.24%	87,682,740.00				
	<b>TOTAL</b>	<b>8,768,274</b>	<b>87,682,740.00</b>						
5. ARMANDO M. YAO FILIPINO	Common	1,620,537	16,205,370.00	0.23%	16,205,370.00				
	<b>TOTAL</b>	<b>1,620,536</b>	<b>16,205,360.00</b>						
6. ERLINDA M. YAO FILIPINO	Common	1,620,536	16,205,360.00	0.23%	16,205,360.00				
	<b>TOTAL</b>	<b>1,620,536</b>	<b>16,205,360.00</b>						
7. JEFFREY S. YAO FILIPINO	Common	1,620,536	16,205,360.00	1.15%	80,830,360.00				
	Preferred	6,462,500	64,625,000.00						
	<b>TOTAL</b>	<b>8,083,036</b>	<b>80,830,360.00</b>						
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			6,810,904,250.00	<b>96.51%</b>	<b>6,810,904,250.00</b>				
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>									

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		<b>PHILIPPINE BUSINESS BANK, INC., A Savings Bank</b>			
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		<b>Sixty-Seven (67)</b>		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: Sixty-Two (62)</b>	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php114,091,966,872.00</b>					
<b>STOCKHOLDER'S INFORMATION</b>					
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	
8. LETICIA M. YAO FILIPINO	Common	1,620,536	16,205,360.00	0.23%	16,205,360.00
	<b>TOTAL</b>	<b>1,620,536</b>	<b>16,205,360.00</b>		
9. MARY GRACE S. YAO FILIPINO	Common	1,620,535	16,205,350.00	0.98%	69,080,350.00
	Preferred	5,287,500	52,875,000.00		
	<b>TOTAL</b>	<b>6,908,035</b>	<b>69,080,350.00</b>		
10. CAROLYN S. YAO FILIPINO	Common	1,620,535	16,205,350.00	1.68%	118,705,350.00
	Preferred	10,250,000	102,500,000.00		
	<b>TOTAL</b>	<b>11,870,535</b>	<b>118,705,350.00</b>		
11. ROBERTO L. OBIEDO FILIPINO	Common	506,250	5,062,500.00	0.07%	5,062,500.00
	<b>TOTAL</b>	<b>506,250</b>	<b>5,062,500.00</b>		
12. JAMES G. DY FILIPINO	Common	468,750	4,687,500.00	0.07%	4,687,500.00
	<b>TOTAL</b>	<b>468,750</b>	<b>4,687,500.00</b>		
13. ROBERTO LEE OBIEDO FILIPINO	Common	375,000	3,750,000.00	0.05%	3,750,000.00
	<b>TOTAL</b>	<b>375,000</b>	<b>3,750,000.00</b>		
14. PETER Y. SEE FILIPINO	Common	375,000	3,750,000.00	0.05%	3,750,000.00
	<b>TOTAL</b>	<b>375,000</b>	<b>3,750,000.00</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>221,241,060.00</b>	<b>3.08%</b>	<b>221,241,060.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS					
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.					

GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> PHILIPPINE BUSINESS BANK, INC. A Savings Bank					
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		Sixty-Seven (67)		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> Sixty-Two (62)	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> Php114,091,966,872.00					
<b>STOCKHOLDER'S INFORMATION</b>					
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (Php)
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER-SHIP	
15. SIOT KENG GO DY FILIPINO	Common	375,000	3,750,000.00	0.05%	3,750,000.00
	<b>TOTAL</b>	<b>375,000</b>	<b>3,750,000.00</b>		
16. JOHNNY CHAN FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
17. JIMMY WAI PIU NG FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
18. EUSEBIO S. GO FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
19. ANTONIO D. TAN &/OR CARIDAD TAN FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
20. REYNATO KEH LIM &/OR SUSANA DY LIM FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
21. OTHERS	Common	1,223,063	12,230,630.00	0.17%	12,230,630.00
	<b>TOTAL</b>	<b>1,223,063</b>	<b>12,230,630.00</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>25,355,630.00</b>	<b>0.36%</b>	<b>25,355,630.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS					
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.					

GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b> PHILIPPINE BUSINESS BANK, INC., A Savings Bank			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>		<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>
1.1 STOCKS			NONE
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>		<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>
NONE			
<b>3. TREASURY SHARES</b>		<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>
		NONE	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>		<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>
5.1 CASH		NONE	NONE
5.2 STOCK		NONE	NONE
5.3 PROPERTY		NONE	NONE
TOTAL		P	
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
NONE	NONE	NONE	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	SEC	BSP	IC
<b>TYPE OF LICENSE/REGN.</b>	GSED	NONE	NONE
<b>DATE ISSUED:</b>	Dec. 19, 2008		
<b>DATE STARTED OPERATIONS:</b>	Feb. 03, 2003		
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (In PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
24,525,000.00	707	984	1,691
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

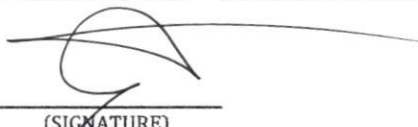
I, ATTY. ROBERTO S. SANTOS CORPORATE SECRETARY OF THE ABOVE-MENTIONED  
(Name) (Position)

DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF \_\_\_\_\_ ( ) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS \_\_\_\_\_ DAY OF \_\_\_\_\_, 20 \_\_\_\_\_ IN \_\_\_\_\_.



(SIGNATURE)

ATTY. ROBERTO S. SANTOS

25 AUG 2020 SUBSCRIBED AND SWORN TO BEFORE ME IN CALOOCAN CITY CITY/PROVINCE, PHILIPPINES ON  
AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER COMPETENT  
EVIDENCE OF IDENTITY TIN: 123-467-623 ISSUED AT \_\_\_\_\_ ON \_\_\_\_\_.

NOTARY PUBLIC FOR

Notarial Commission No.

Commission expires on December 31, 2021

Roll of Attorney Number

PTR No.

IBP No.

Office Address:

**ATTY. NIÑO CHRISTOPHER R. PURA**  
Notary Public for the City of Caloocan  
Commission C-397 until Dec 2021  
Philippine Business Bank Corporate Center  
350 Rizal Avenue Corner 8th Avenue  
Grace Park Caloocan City  
Roll No. 53988  
PTR No. 11026756, 01.02.20 Caloocan  
IBP No. 099164, 12.17.19, Caloocan  
MCLE Certificate No. VI-0025737 04.10.19

DOC. NO.: 113  
PAGE NO.: 24  
BOOK NO.: 13  
SERIES OF.: 2020

# GENERAL INFORMATION SHEET

STOCK CORPORATION

## NOT FOR UPLOADING

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME: PHILIPPINE BUSINESS BANK, INC.**

### LIST OF STOCKHOLDERS ,DIRECTORS AND OFFICERS

#### TAX IDENTIFICATION NO. (TIN) INFORMATION

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	POSITION	TIN
1. JEFFREY S. YAO, 84 Dapitan St., corner Banawe St., Sta. Mesa	FILIPINO	C	121-076-085
2. ROLANDO R. AVANTE, 4 Parker Wills St, BF HEVA, BF Homes, Las Piñas City	FILIPINO	Vice-Chairman/President & C.E.O.	106-968-623
3. HONORIO O. REYES-LAO, 10 Dampol St., Damar Village, Q.C.	FILIPINO	M	125-401-609
4. LETICIA M. YAO, 84 Dapitan St., corner Banawe St., Sta. Mesa	FILIPINO	M/S	122-514-810
5. ROBERTO A. ATENDIDO, 422 Ma. Cristina St., Ayala Alabang, Muntinlupa	FILIPINO	M	105-336-195
6. DANILO A. ALCOSEBA, 7 Finland, Loyola Grand Villas, Marikina City	FILIPINO	M	125-400-393
7. PATERNO H. DIZON, 66 Boston St., Cubao, Q.C.	FILIPINO	I	118-192-518
8. BENJAMIN R. STA. CATALINA, JR., 12B Amorsolo East, 23 Amorsolo Drive, Rockwell, Makati	FILIPINO	I	106-906-404
9. NARCISO DL. ERAÑA Unit 19 Francis Court Townhouses 217 M. Paterno St., Pasadena, San Juan	FILIPINO	I	108-099-974
10. ROBERTO C. UYQUIENGCO, FILIPINO 103 Queensland Street, Vista Real Classica, Batasan Hills, Quezon City	FILIPINO	I	125-402-064
11. PETER N. YAP, 28B Wack-Wack Condo, Wack-Wack Road, Mandaluyong	FILIPINO	SEVP / CMO / Head - RBG	101-915-282
12. JOSEPH EDWIN S. CABALDE 109 St. John Drive, Palmera Homes IV, Taytay, Rizal	FILIPINO	EVP / Treasurer	117-482-086
13. ARLO B. REYES 6E Paloverde Tower, Dansalan Gardens, M. Vicente St., Mandaluyong City	FILIPINO	EVP / Head - CBG	168-488-589
14. REYNALDO T. BORINGOT Lot 1 Block 4 Ciudad Del Carmen Executive Village, Rosario, Pasig	FILIPINO	Business Development Officer	121-519-572
15. CONSUELO V. DANTES, 8 Acadia Drive, Mackinley Hill Village, Fort Bonifacio, Taguig City	FILIPINO	HRG Head	112-112-997

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16. ROSENDO G. SIA 40 Multinational Avenue corner Cairo Sts., Multinational Village, Parañaque	FILIPINO	Business Development Officer	161-061-934
17. LIZA JANE T. YAO 84 Dapitan St., corner Banawe St., Sta. Mesa	FILIPINO	SVP / Head - GSC	170-367-710
18. ROSELLE M. BALTAZAR, 169 J.P. Rizal St., San Jose, Baliuag, Bulacan	FILIPINO	Asst. Controller	179-193-899
19. FELIPE V. FRIGINAL, Red Cherry corner Rambutan Sts., Valley View Executive Village, Cainta, Rizal	FILIPINO	BBG Head	107-190-718
20. EDUARDO R. QUE 8591 Esmeralda St. Marcelo Green Village, Parañaque City	FILIPINO	FVP / Head - Corp. Banking Group	101-914-007
21. MA. LOURDES G. TRINIDAD, FILIPINO 12 Calantas St., Northview II (Filinvest II), Batasan, Quezon City	FILIPINO	FVP/ CRO / Head - ERMG	126-971-975
22. RODEL P. GENEBLAZO L7 B28 Don Celso Tuason Avenue, Valley Golf	FILIPINO	FVP / Head - Consumer Banking Group	148-748-908
23. JOSE MARIA P. VALDES, 1A Glacier St., South Green Park Village, Merville, Parañaque	FILIPINO	ITG Head	106-970-643
24. MIAMI V. TORRES #50 Maria Dizon Street Gloria Heights Subd. Antipolo City	FILIPINO	FVP / Head - Credit Management Group	107-204-010
25. JOHN DAVID D. SISON 33 Landargun Street, Araneta Village, Q.C.	FILIPINO	FVP / Investor Relations Officer	249-692-679
26. ATTY. SERGIO M. CENIZA, FILIPINO Unit 909 Union Square One Condo., 145 15th Ave. Cubao Q.C.	FILIPINO	FVP / C.C.O.	122-338-597
27. ATTY. ROBERTO S. SANTOS 25 Quiogue St., Caniogan, Pasig City	FILIPINO	Corporate Secretary / VP - Head, LSG	123-467-623
28. ROLANDO G. ALVENDIA Lot 48 Block II Macopa St., La Unica Hija Subd., Phasee III, Cupang, Antipolo	FILIPINO	VP / Head - GAC	107-182-307
29. ENRICO T. TEODORO Lot 3 Blk 1 PH 8 Crocus Street, Greenland Executive Village, Sta Ana, Taytay Rizal	FILIPINO	VP / Head - System Support Unit/ OIC-Project Management Office	177-883-184
30. ATTY. LEONARDO C. BOOL 159 Lawa, Obando, Bulacan	FILIPINO	SAVP / Asst. Corporate Secretary	112-145-477

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# GENERAL INFORMATION SHEET

STOCK CORPORATION

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### LIST OF STOCKHOLDERS ,DIRECTORS AND OFFICERS

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31. MA. JOYCE G. ZARATE 34 Cesar Lorenzo Street, Executive Villagers Society, BF Homes, Parañaque	FILIPINO	VP / Head - Product Development & Management Group	109-943-300
32. LAURENCE R. RAPANUT 2R Bianca, North Belton Communities, Quirino Highway, Novaliches, Q.C.	FILIPINO	SAVP / Chief Audit Officer & Head - IAC	109-662-971
33. ANGELO MIGUEL M. CALABIO Unit 707 Tower 1 Avida Towers One Union Place, South Union Drive, Arca South, Western Bicutan, Taguig City	FILIPINO	VP / Trust Officer & Head - TIC	309-449-152-000
34. EMMA K. LEE 256 J. Teodoro St., Grace Park, Caloocan City	FILIPINO	AVP / Head - Systems & Methods Center	100-935-893
35. ALFREDO M. YAO 76 A. Bonifacio Avenue, Quezon City	FILIPINO	S	122-514-778
36. PCD NOMINEE CORPORATION (FILIPINO) 37/F Enterprise Center, Ayala Avenue, Makati	FILIPINO	S	004-774-849-000
37. ZEST-O CORPORATION 574 EDSA, Caloocan City	FILIPINO	S	002-133-989
38. PCD NOMINEE CORPORATION (NON-FILIPINO) 37/F Enterprise Center, Ayala Avenue, Makati	FILIPINO	S	004-774-849-000
39. ARMANDO M. YAO 117 Ma. Clara St., Quezon City	FILIPINO	S	122-514-795
40. ERLINDA M. YAO 84 Dapitan St., corner Banawe, Sta. Mesa Heights, Quezon City	FILIPINO	S	100-335-171
41. JEFFREY S. YAO 84 Dapitan St., corner Banawe, Sta. Mesa Heights, Quezon City	FILIPINO	S	121-076-085
42. LETICIA M. YAO 84 Dapitan St., corner Banawe, Sta. Mesa Heights, Quezon City	FILIPINO	S	122-514-810
43. MARY GRACE S. YAO 84 Dapitan St., corner Banawe, Sta. Mesa Heights, Quezon City	FILIPINO	S	163-209-158
44. CAROLYN S. YAO 84 Dapitan St., corner Banawe, Sta. Mesa Heights, Quezon City	FILIPINO	S	123-380-282
45. ROBERTO L. OBIEDO 721 BRGY. TABUCC, NAGA CITY	FILIPINO	S	102-705-013

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### LIST OF STOCKHOLDERS, DIRECTORS AND OFFICERS

#### TAX IDENTIFICATION NO. (TIN) INFORMATION

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	POSITION	TIN
46. JAMES G. DY 36 Xavier St, West Greenhills, San Juan	FILIPINO	S	105-850-498
47. ROBERTO LEE OBIEDO 919 Juan Luna St, Tondo, Manila	FILIPINO	S	102-705-013
48. PETER Y. SEE 1252 G. Apacible St, Paco, Manila	FILIPINO	S	167-299-254
49. SIOT KENG G. DY 36 Xavier St, West Greenhills, San Juan	FILIPINO	S	216-104-961
50. JOHNNY CHAN 23 Rajah Soliman St, Ayala Heights Village, Old Balara, Q.C.	FILIPINO	S	143-471-354
51. JIMMY WAI PIU NG 191-193 Rizal Ave. Ext., Caloocan City	FILIPINO	S	111-747-656
52. EUSEBIO S. GO 415 San Fernando St, Binondo, Manila	FILIPINO	S	111-545-623
53. ANTONIO D. TAN &/OR CARIDAD TAN 130th 4th Street, 10th Avenue, Caloocan	FILIPINO	S	119-573-161
54. REYNATO KEH LIM &/OR SUSANA DY LIM 722 Moriones St, Tondo, Manila	FILIPINO	S	100-372-909
55. OTHERS	FILIPINO	S	N/A
56. *****Nothing Follows*****			
57.			
58.			
59.			
60.			

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